

10/11/00

10/11/00 U.S. PRO

10-12-00

Please type a plus sign (+) inside this box → ☐Approved for use through 09/30/2000. OMB 0651-0036  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket No.	A-378-0 US
	First Inventor or Application Identifier	YURI ABRAMOV
	Title	METHOD UTILIZING THE SAW VELOCITY DISPERSION EFFECT FOR WEIGHTING BY SHAPING THE ELECTRODE FINGERS OF A SAW INTERDIGITAL TRANSDUCER AND APPARATUS PRODUCED THEREBY
	Express Mail Label No.	EK943666895US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Specification [Total Pages 27] (preferred arrangement set forth below) - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 17] 4. Oath or Declaration [Total Pages] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed) c. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	5. <input type="checkbox"/> Microfiche Computer Program (Appendix) 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies <b>ACCOMPANYING APPLICATION PARTS</b> 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee) 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 13. <input checked="" type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, (PTO/SB/09-12) Status still proper and desired 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input type="checkbox"/> Other:
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____ Prior application information: Examiner _____ Group / Art Unit: _____ For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.	
17. CORRESPONDENCE ADDRESS <input checked="" type="checkbox"/> Customer Number or Bar Code Label <b>23366</b> (Insert Customer Number or bar code label here) or <input checked="" type="checkbox"/> Correspondence address below	
Name <b>MORTON CHIRNOMAS</b> PATENT TRADEMARK OFFICE <b>SHIBOLETH YISRAELI ROBERTS ZISMAN &amp; CO.</b> Address <b>350 FIFTH AVENUE, 60TH FLOOR</b> City <b>NEW YORK CITY</b> State <b>NY</b> Zip Code <b>10118</b> Country <b>USA</b> Telephone <b>212-244-4111</b> Fax <b>212-563-7108</b>	

Name (Print/Type)	MORTON CHIRNOMAS	Registration No. (Attorney/Agent)	34,465
Signature	<i>[Signature]</i>	Date	Oct. 11, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/SB/17 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2000**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT**

(\$) 450

**Complete if Known**

Application Number

Filing Date

First Named Inventor

Yuri Abramov

Examiner Name

Group Art Unit

Attorney Docket No.

A-378-0 US

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

50-1115

Deposit  
Account  
NameSHIBOLETH YISRAELI ROBERTS  
ZISMAN & CO.

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status.
- 
- See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	345
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

**SUBTOTAL (1)** (\$) 345**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
23	-20** = 3	9	27
5	-3** = 2	39	78
Multiple Dependent			

\*\*for number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 78	202 39	Independent claims in excess of 3	
104 260	204 130	Multiple dependent claim, if not paid	
109 78	209 39	** Reissue Independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2)** (\$) 105**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	
179 690	279 345	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)**SUBMITTED BY**

Name (Print/Type)

MORTON CHIRNOMAS

Signature

Registration No.  
(Attorney/Agent)

34,465

**Complete (if applicable)**

Telephone

212-244-4111

Date

Oct. 11, 2000

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Sir:

"Express Mail" Mailing Label Number **EK943666895US**

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on 11 October 2000 and is addressed "Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C. 20231."

*Yvonne James Harris*  
Yvonne James Harris

Utility Patent Application  
Docket No. A-378-0 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Yuri Abramov

Examiner

Serial No. To Be Assigned

Art Unit

Filed: Herewith

Date: 11 October 2000

Entitled: **METHOD UTILIZING the SAW VELOCITY  
DISPERSION EFFECT FOR WEIGHTING BY SHAPING THE  
ELECTRODE FINGERS OF A SAW INTERDIGITAL  
TRANSDUCER AND APPARATUS PRODUCED THEREBY**

Assistant Commissioner of Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

## TRANSMITTAL LETTER AND EXPRESS MAIL CERTIFICATION

Dear Sir:

Enclosed herewith please find the following:

1. Form PTO SB-05
2. Utility Patent Application
3. 17 Sheets Of Drawings
4. Form PTO SB-01 and SB-02a
5. Fee Transmittal Form PTO/SB/17
6. Certification Of Express Mailing
7. Return Receipt Card

Respectfully submitted,



Morton Chirnomas  
Reg. No. 34,465

Shibolet Yisraeli Roberts & Zisman LLP  
350 Fifth Ave., 60<sup>th</sup> Floor  
New York, NY 10118  
212-244-4111  
212-563-7108 fax

PTO/SB/09 (12-97)

Approved for use through 9/30/00. OMB 0651-0031  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**Docket Number (Optional)  
A-378-0-US

YURI ABRAMOV

Applicant, Patentee, or Identifier: \_\_\_\_\_

Application or Patent No.: Not Yet AssignedFiled or Issued: HerewithTitle: METHOD UTILIZING THE SAW VELOCITY DISPERSION EFFECT FOR WEIGHTING BY SHAPING  
THE ELECTRODE FINGERS OF A SAW INTERDIGITAL TRANSDUCER AND APPARATUS  
PRODUCED THEREBY

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.
- ☐ the application identified above.
- ☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.
- ☐ Each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

YURI ABRAMOV

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Signature of inventor

Signature of inventor

Signature of inventor

Date

Date

Date

**METHOD UTILIZING THE SAW VELOCITY DISPERSION EFFECT FOR WEIGHTING BY  
SHAPING THE ELECTRODE FINGERS OF A SAW INTERDIGITAL TRANSDUCER AND  
APPARATUS PRODUCED THEREBY**

5    Field of Invention

The invention relates generally to surface acoustic wave (SAW) devices and more particularly to SAW transducers with overlapped electrode fingers.

10   Background Of The Invention

Surface acoustic wave (SAW) devices are often employed as filters or resonators in high frequency applications. A SAW device contains a substrate of piezoelectric material. For piezoelectric material, a propagating surface wave is accompanied by an electric field  
15    localized at the surface, and this enables the wave to be generated by applying a voltage to an array of metal electrodes on the surface. The electrode array is known as an interdigital transducer. Up until this point, prior art methods for tuning SAW devices have concentrated on the weighting of electrodes, as will be further described hereinbelow. However, the methods for determining how to weight the electrodes have heretofore been working on the  
20    assumption that SAW velocity dispersion effects can be ignored, and have assumed that SAW velocity value is an independent constant.

U.S. Pat. No. 5,831,492 to Solie discloses transducers which convert input electrical signals to surface acoustic waves propagating upon the surface of the substrate, and then  
25    reconvert the acoustic energy to an electric output signal. The input and output transducers are frequently configured as interdigital electrode fingers, which extend from pairs of transducer pads. Alternating electrical potential coupled to the input interdigital transducers induces mechanical stresses in the piezoelectric substrate. The resulting strains propagate away from the input transducer along the surface of the substrate in the form of surface

acoustic waves. These propagating surface waves arrive at the output in an interdigital transducer where they are reconverted to electrical signals.

U.S. Pat. No. 5,818,310 to Solie discloses an electrical filter which transmits signals  
5 having frequencies within certain designated ranges or passbands, and suppresses signals having other frequencies outside the passband, or within attenuation bands. An ideal filter would transmit the signal within the passband without attenuation and completely suppress signals within the attenuation bands. In practice, known filters do attenuate the passband signal due to absorption, reflection, or radiation, which results in a loss of desired signal  
10 power. Further, such filters do not completely suppress signals within the attenuation bands. The use of surface acoustic wave devices as filters or resonators is well known for having the advantages of high Q, low series resistance, small size, and good frequency temperature stability when compared to other frequency control methods such as LC circuits, coaxial delay lines, or metal cavity resonators.

15

Trivial periodical uniform interdigital transducers have a  $\sin(x)/x$  passband shape which is not a preferred filter shape because its transition bandwidth is equal to the filter bandwidth, and more importantly, the first sidelobe is typically only 13 dB below the main response. To synthesize arbitrary passbands, transducer weighting is employed. Filtering is  
20 thus accomplished in the process of generating the surface acoustic wave by the input transducer, and in the inverse process of detecting the wave by the output transducer. The most effective filtering is preferably accomplished if both input and output transducers are weighted, and thereby participate in the filtering process. Common transducer weighting techniques include apodization and withdrawal weighting. Apodization is typically used for  
25 wideband filters and either apodization or withdrawal weighting typically used for narrowband filters.

The term weighting as used herein refers either to the transducer's topology or to the separate finger geometry and polarity. Prior art uses the topology weighting mechanisms  
30 which are directly associated with amplitude and phase of currents on electrode fingers and of

voltages in the gaps between electrode. Such currents and voltages are considered as the sources of the launched SAW or the result of the detected SAW.

Weighting by apodization refers to the varying of the inter-electrode overlapping  
5 length.

Capacitive weighting refers to a topology implementation, wherein the weights associated with fingers, are mainly defined by overlaps, both by some far fingers as well as by the nearest fingers.

10

Withdrawal weighting is the isolation or omission of selected fingers which roughly controls currents and voltages.

Series-block weighting is a kind of capacitive weighting.

15

Line-width weighting refers to an interdigital transducer, having fingers with different widths, and allows a weak weighting of currents and voltages.

Tapering of the transducer, i.e. weighting that leads to fanned topology, is a weighting  
20 in the direction along the electrode finger's length. Such a weighting controls the phase shift of the resonant frequency along the finger's length, because the period of the finger array changes along that direction.

Phase weighting, i.e. phase modulation that leads to chirp transducer, is achieved by  
25 varying the distance between fingers along the direction of SAW wave propagation.

There follows a more in-depth description of certain prior art techniques for weighting electrode fingers. Apodization varies the length of the electrodes to achieve an electrode weighting. With apodization, Fourier transform techniques can be readily applied for  
30 computing a filter impulse response when defining a spacial geometric pattern for the

interdigital transducer fingers. It is well known that it is not practical to have an input apodized transducer launching a wave directly into an output apodized transducer, because an apodized transducer launches a wave which has a non-uniform beam profile, and as a receiving transducer, it must see a uniform beam profile. If a surface wave incident upon an

5 apodized transducer is not uniform over the entire width of the beam, the frequency response changes dramatically. For this reason, apodized input and output transducers can not be used to form a filter unless an added structure such as a multi-strip coupler is used. The multistrip coupler positioned between the apodized input and output transducers, transfers energy from a non-uniform beam into an adjacent track, in which a surface acoustic wave is

10 launched as a uniform beam, and is thus compatible with an apodized transducer receiving the uniform beam. However, using an apodized input transducer for generating a surface acoustic wave, and transmitting the wave through a multistrip coupler to an apodized output transducer, widens the filter device, thus requiring increased space within electronic systems seeking to be ever more miniaturized. Further, apodized transducer-to-apodized transducer

15 through a multistrip coupler is only useful on high coupling substrates such as lithium niobate, whereas, in fact, it is not practical on quartz.

Apodized interdigital transducer structure typically has a lot of small overlaps of the electrode fingers. This leads to diffraction spreading of the partial narrow SAW beams and

20 consequently to additional insertion loss (i.e. diffraction loss) and distortion to the SAW device's response. The diffraction effect is not easy for mathematical simulation, and requires sophisticated techniques to be accounted in a synthesis problem. As described in the book by D.P.Morgan titled "Surface-Wave Devices for Signal Processing", in order to simplify these difficulties, either the simplified diffraction model called "parabolic approximation" might be

25 used for ST-quartz substrate, or the diffraction effect is ignored for the minimal-diffraction orientation Y,Z of the lithium niobate substrate. As described in U.S. Pat. No. 6,031,315 to Abbot, the important criterion in the choice of a piezocrystal cut, is the minimal-diffraction orientation, by way of example, for quartz. It reduces degrees of freedom for choosing the cut of either the greatest temperature stability or the most appropriate coupling coefficient. An



additional difficulty arises here because the diffraction pattern is found to be very sensitive to the details of the SAW velocity anisotropy.

An unweighted transducer is also used with an apodized transducer if less sidelobe rejection can be tolerated for the system. By way of example, a SAW filter design may require performance that an apodized transducer can provide, yet places constraints on filter size or piezoelectric substrate type such that the use of a multistrip coupler is precluded. An alternative approach often includes the use of withdrawal weighting of one transducer with apodization of the other. A transducer having withdrawal weighting launches a uniform wave across the beam profile, and thus is compatible with an apodized transducer. In withdrawal weighting techniques, electrode fingers are selectively removed, or withdrawn, from a uniform interdigital transducer having constant finger overlap in order to attain a desired transducer response. Since the remaining electrodes all have constant overlap, the withdrawal weighted interdigital transducer can be used with the apodized transducer, amplitude weighted, without the need for the multistrip coupler. Good sidelobe suppression can be obtained using this combination of overlap and withdrawal weighting. It is thus attractive for SAW transducers used on low-coupling piezoelectric substrates such as quartz, where the use of multi-strip couplers is normally impractical. However, since a filter approximation deteriorates if too many electrodes are withdrawn, this technique is limited to narrowband filter applications where the number of interdigital transducer electrodes is large. Further, although the withdrawal weighted transducer satisfies the uniform wave condition, withdrawal weighting is a coarse weighting technique, and as a result produces generally poor "far out" sidelobe response, with less than desirable noise rejection. The approximation of the withdrawal weighted transducer characteristics becomes coarse, if the SAW velocity dispersion effect is ignored for high coupling substrates such as lithium niobate. This occurs because the SAW velocity measured over the interval of isolated electrode fingers, differs from the SAW velocity measured over the interval of charged electrode fingers.

Another way to control the frequency characteristics is by using tapered interdigital transducers. The basic tapered transducer has been reported in the literature and in

particular in an article by P. M. Naraine and C. K. Campbell titled "Wideband Linear Phase SAW Filters Using Apodized Slanted Finger Transducers", for Proceedings of IEEE Ultrasonics Symposium, Oct. '83, pp 113-116, and article by N. J. Slater and C. K. Campbell titled "Improved Modeling of Wide-Band Linear Phase SAW Filters Using Transducers with Curved Fingers", IEEE Transactions on Sonics & Ultrasonics, vol. Su-31, No. 1, Jan. 1984, pp.46-50. C. K. Campbell, et al., discusses wide band linear phase SAW filters using apodized slanted, or tapered, finger transducers. The frequency performance control is based on the changing of distance between adjacent finger's centers in the direction of electrode finger's length and so the interdigital electrode finger array is fitted up to the band pass frequency spectrum. Tapered finger transducer geometries had all the transducer fingers positioned along lines which emanate from a single focal point.

U.S. Pat. No. 4,600,905 to Fredricksen discloses an attempt to improve performance by using the electrode fingers posited into grooves etched into the substrate. The grooves are deeper at the high frequency side of the substrate. The grooves enhance the electromechanical coupling of high frequency components, and thus provide a flatter amplitude response curve. U.S. Pat. Nos. 4,635,008; 4,908,542; 5,831,492 and 5,818,310 to Solie disclose other attempts to improve performance by using hyperbolically tapered electrodes. This improvement is theorized to be based on the fact that there is a hyperbolic dependence between the resonance frequency and the distance between adjacent finger's centers of the periodical uniform interdigital transducer, and it was assumed that the SAW velocity dispersion effect might be ignored when hyperbolically tapered electrode fingers are used.

There are described three types of weighting that are used individually, and in combination, to provide improved frequency selectivity in U.S. Pat. Nos. 5,831,492 and 5,818,310 to Solie. These are series-block weighting, line-width weighting, and capacitive weighting. Series-block weighting and line-width weighting are therein described for preferred alternate embodiments of that art. In the mentioned art U.S. Pat. Nos. 5,831,492 and 5,818,310 to Solie, however, the line-width weighting is useful when combined with

series-block weighting. Unlike line-width weighting techniques used in the past, limited because of limited tap weight range, when combined with series-block weighting, the achievable tap weight range is much broader. All these three types of weighting are based on effects of electrostatic charge distribution that depends on the geometry of the electrode fingers, their locations and polarities. The SAW velocity dispersion effects were ignored, and the interdigitalized electrode finger's line-width weighting technique assumes that SAW velocity value is an independent constant. These approximations are acquitted when the interdigitalized electrode fingers are implemented from lightweight metal, for example aluminum, and its thickness is rather small, and when the SAW device operating frequency is rather low.

A multi-strip coupler, i.e., a plurality of electrically disconnected interdigitalized electrode fingers, is used in many devices in order to couple interdigital transducers whose active regions do not overlap. The multi-strip coupler also is a SAW transducer, and, as described in an article by L. P. Solie titled "A SAW bandpass filter technique using a fanned multi-strip coupler", Appl.Phys. Lett., 30 , 374-376 (1977), a bandpass filtering might be achieved using multi-strip couplers. A weighting of such a multi-strip coupler transducer was realized with whole-number quanta of fingers in a section. Several methods of introducing weighting for multi-strip couplers are described in an article by M. Feldmann and J. Henaff titled "Design of multi-strip arrays", - IEEE Ultrasonic Symp., 1977, pp.686-690. In particular, one of them is based on an apodization weighting of an electrode fingers length. In another case, multi-strip coupler transducer's electrode fingers in one of tracks are fanned in the same manner as in a regular tapered transducer.

In order to decrease the insertion loss of the SAW filter, SAW Single Phase Unidirectional Transducers (SPUDT) are applied. An effect of the directivity is achieved by using of electrode fingers, which tend to reflect the originating SAW waves launched or detected within the SPUDT. The reflected SAW waves should cancel the originating SAW waves, which propagate in the unwanted direction, and intensify the originating SAW waves which propagate in the desired direction. SPUDT based single SAW filters are inherently

5

15

There is therefore a need in the art for a system to provide an additional weighting mechanism and techniques are needed for fine adjustments of SAW device characteristics at higher frequencies.

5

Summary of the Invention

Accordingly, it is a principal object of the present invention to overcome the limitations of existing surface acoustic wave (SAW) devices, and to provide improved methods for  
10 designing SAW bandpass filters having a SAW transducer with overlapped electrode fingers.

It is a further object of the present invention to provide methods for designing a transducer for a SAW device with an interdigitized series of non-uniform width electrode fingers, thereby increasing the SAW velocity dispersion effect inherent in such a transducer.

15

It is still a further object of the present invention to provide an additional weighting mechanism for methods and apparatus for a SAW device transducer having an interdigitized series of non-uniform width electrode fingers.

20

It is yet still a further object of the present invention to provide methods for achieving fine adjustments of characteristics at higher frequencies of a SAW device.

It is yet a further object of the present invention to provide SAW devices having electrode fingers which have been shaped in order to achieve a weighting which is resultant  
25 from a change in the SAW velocity dispersion effect along the lengths of the electrode fingers.

It is moreover an object of the present invention to provide SAW devices having electrode fingers which have been shaped in order to achieve a weighting which is resultant from a change in the SAW reflection coefficient dispersion effect along the lengths of the  
30 electrode fingers.

These objects, and others not specified hereinabove, are achieved by an exemplary embodiment of the present invention, wherein electrode fingers, which are implemented in form either like a pair of curled brackets or like pair of rounded brackets or like rhombus, are employed for use in surface acoustic wave (SAW) transducers. Three specific features of the curl, round and rhombus shaped electrodes are widening of the frequency band pass, improving the band pass performance, and focusing of the SAW waves. These three features are caused by the SAW velocity dispersion effect. The interdigitalized electrode finger weighting mechanism, based on the SAW velocity dispersion effect, is applied for the SAW device characteristics improvement.

The optimization procedure for the high precision design of frequency performance is more effective, since width weighting by means of curled-brackets shaped electrodes adds a degree of freedom. In order to improve attenuation of sidelobe levels around the band pass, in a particular sub-optimal case, the curl's form is calculated as inverse-cosine. In trivial cases, e.g. where frequency performance precision of the waves is not a primary objective, the curled-brackets shape may either be smoothed out to a rounded-brackets shape or be straightened out to a rhombus shape and yet still achieve a far better SAW device over prior art devices.

Use of a shaped electrode finger, having a width that changes along its length, also causes a weak dispersion of electromechanical coupling coefficient and causes electrostatic charge to be distributed from the top to bottom of that electrode. This is an additional inherent tool with which to form frequency performance of SAW signals.

The curl, round and rhombus shaped electrodes operate like focusing lenses for SAW waves. The electrodes, implemented in the shape of a pair of brackets turned inside out, operate like scattering lenses for SAW waves. Thus, precise control over the diffraction-effect becomes attainable due to the variable width and height of such lenses, and due to a technique whereby each long electrode is implemented in the form of several bracket pairs

connected to each other. This also liberates the choice of the piezocrystal cut, that is, a piezoelectric cut with either the greatest temperature stability, or the most appropriate coupling coefficient or a compromise between the two, ignoring or almost ignoring the criterion of minimal-diffraction.

5

A reflecting electrode finger, that changes in width along its length, changes in its reflection coefficient along that direction. This gives an additional important tool with which to form characteristics of reflected SAW signals.

10 The SAW velocity dispersion effect for SAW's propagating under electrode fingers, which change in width along their length, is increased by increasing the thickness of the electrode fingers, and is also increased when a metal heavier than commonly applied aluminum is used as a conductive material for electrode finger fabrication. So the choice of the electrode's material, and the choice of the thickness, together become the additional  
15 degree of freedom for building a weighting function for an interdigital transducer, and for achieving the desired frequency performance.

Prior art weighting mechanisms are based on the distribution of currents and voltages within the SAW transducer. By contrast, weighting to purposely affect the velocity dispersion  
20 effect, and other such effects described hereinbelow, is one of the primary teachings of the embodiment of the present invention.

The SAW velocity dispersion depends on both electrical and mechanical load, i.e., it depends on the material both of the piezoelectric substrate and the electrode fingers and  
25 depends on the thickness, configuration, polarity and arrangement of the electrode fingers.

Prior art generally relied on aluminum, the density and elasticity of which are similar to the substrate's density and elasticity respectively, thereby substantially avoiding having to consider the velocity dispersion effect. As mentioned hereinabove, the velocity dispersion

effect has heretofore been generally perceived as confusing the predictability of results and not something which should be purposely manipulated.

5           Use of a heavier metal, for example, gold, for fabricating electrode fingers which change in width along their length, increases the SAW velocity dispersion effect, which is a primary objective of the present invention.

#### Brief Description of the Drawings

10           The present invention will now be described more fully hereinafter with reference to the accompanying drawings, in which:

FIG. 1 illustrates an interdigital transducer having electrode fingers which are shaped like pairs of curled brackets in accordance with an exemplary embodiment of the present  
15   invention;

FIG. 2 is a top view of a prior art SAW filter consisting of input and output known uniform interdigital transducers;

20   FIG. 2a is a top view of a prior art SAW filter which contains a known input interdigital transducer weighted by apodization of the length of the fingers;

FIG. 2b is a top view of a prior art SAW filter which contains a known input tapered interdigital transducer;

25   FIG. 3 shows a SAW transducer that contains interdigitalized fingers, each of which linearly changes in width along its length in accordance with an exemplary embodiment of the present invention;



FIG. 4 shows a SAW transducer having interdigitalized fingers, wherein each finger changes in width along its length, and wherein the curl form of finger is calculated as inverse-cosine in accordance with an exemplary embodiment of the present invention;

- 5     FIG. 5 shows a SAW transducer that contains interdigitalized electrode fingers, wherein each finger has the form of a lens (i.e. the form of a pair of round braces), in accordance with an exemplary embodiment of the present invention;

- FIG. 6 shows a SAW filter which contains an input interdigital transducer having electrode  
10     fingers, wherein each finger is weighted by being shaped into a pair of curled brackets in accordance with an exemplary embodiment of the present invention;

- FIG. 6a illustrates the effects of both widening the passband, and improving a SAW filter's frequency characteristics, by reducing the sidelobes, in accordance with an exemplary  
15     embodiment of the present invention;

- FIG. 7 shows a SAW transducer that contains interdigitalized electrode fingers, wherein a finger has the form of a rhombus, in accordance with an exemplary embodiment of the  
present invention;

20

FIG. 8 shows a SAW transducer that contains interdigitalized electrode fingers, wherein a finger has the form of cascade connected curled brackets, in accordance with an exemplary embodiment of the present invention;

- 25     FIG. 9 shows a SAW filter, which contains an input interdigital transducer having a combined weighting of electrode fingers, i.e. each finger is weighted by the combination of the inventive shape of a pair of curled brackets and by apodization in accordance with an exemplary embodiment of the present invention;

FIG. 10 shows a SAW filter, which contains an input interdigital transducer having a combined weighting, i.e. each finger is weighted by the inventive shape of a pair of curled brackets and the whole transducer is weighted by withdrawal weighting in accordance with an exemplary embodiment of the present invention;

5

FIG. 11 shows a SAW filter, which contains an input interdigital transducer having a combined weighting, i.e. each finger is weighted by the inventive shape of a pair of curled brackets and the whole transducer is tapered (preferred tapering is such that the transducer is tapered in both directions to opposite bus bars) in accordance with an exemplary embodiment of the present invention;

10

FIG. 12 shows a SAW filter, which contains an input interdigital transducer having a combined weighting, i.e. each finger is weighted by the inventive shape of a pair of curled brackets and by one of the styles of capacitive weighting in accordance with as an exemplary embodiment of the present invention;

15

FIG. 13 shows a multi-strip coupler transducer;

FIG. 13a shows in detail prior art electrode fingers which could be incorporated into the multi-strip coupler transducer shown in FIG. 13;

20

FIG. 13b shows in detail electrode fingers constructed in accordance with the present invention and which can be substituted into the transducer shown in FIG. 13 in place of the electrode fingers shown in FIG. 13a;

25

FIG. 14 shows a unidirectional transducer with reflecting electrode fingers, wherein both active launching- and reflecting- types of electrode fingers are shaped like pairs of curled brackets in accordance with an exemplary embodiment of the present invention.

30

### Detailed Description Of Preferred Embodiments

As illustrated, this invention may be embodied in many different forms and should not be construed as limited to the embodiments set forth herein. Rather, these embodiments are provided so that this disclosure will be thorough and complete, and will fully convey the scope of the invention to those skilled in the art.

Referring now to FIG. 1, there is illustrated an exemplary embodiment of the present invention showing an interdigital transducer 10 having two bus bars 6 and 8 of opposite polarities. Interdigitalized electrode fingers 12, which are shaped like pairs of curled brackets, are each connected to one or the other of bus bars 6 and 8 by contact squares 7 and 9, respectively. Weighting of electrode fingers 12 is accomplished by means of providing them with a curled brackets shape, for example, as shown, which results in an increased SAW velocity dispersion effect. The curled brackets shape of electrode fingers 12 is determined by considering the fact, that if the electrode fingers change in width along their length, the SAW velocity dispersion (i.e. distribution) is expected to occur in the same direction.

The interdigitalized electrode finger weighting mechanism, suggested in the present invention, is based on the stated goal of increasing the SAW velocity dispersion effect, and is applied to achieve improvement in the characteristics of the SAW device.

#### 1. Frequency Response Widening and Improving Band Pass Performance

SAW velocity dispersion causes frequency response widening for an interdigital transducer with electrode fingers which change in width along their length, in contrast to a transducer with conventional electrode fingers, i.e. wherein the fingers are either of uniform width along their length or of width configured in alignment with tapering of the transducer.

With reference to FIG. 2, there is shown a prior art SAW band pass filter 15, that contains a known trivial periodical uniform interdigital input transducer 20 and an output

transducer 21 with opposite-end bus bars 6 and 8, each having a plurality of interdigitized electrode fingers 22 which are of uniform length and width and are parallel. Here, and further hereinbelow in an exemplary embodiment, the external input circuit is a signal generator 3, and the external output circuit is represented as an electrical load 2 of output transducer 21.

5 Interdigital transducer 20, having a large number of fingers, with regularly alternating polarity, has a narrow frequency passband in the form of  $\sin(x)/x$ . The time domain representation for transducer 20 is modeled in the form of a series of non weighted delta-signals of the values +1 and -1, which are associated with the polarities of fingers 22.

10 With reference to FIG. 2a, there is shown a prior art weighting technique, using apodized transducer 23 as a component of SAW filter 16, comprising interdigitized and overlapping variable length, uniform width, parallel electrode fingers 24.

With reference to FIG. 2b there is shown a prior art illustration of a SAW filter 17  
15 containing known tapered transducers 25 and 26. Further shown herein are fanned electrode fingers 27 shown interdigitized and having consistent length and uniform width. Both of the weighting techniques illustrated by FIGs. 2a and 2b, are determined by the polarity distribution, and ignore the effect of SAW velocity dispersion.

20 In another exemplary embodiment of the present invention, an alternate interdigital transducer 30 has trapezoidal electrode fingers 32 alternately connected to opposite bus bars 6 and 8 by means of contact squares 7 and 9, respectively. Each finger 32 linearly changes in width along its length, as illustrated in FIG. 3. Modeling of transducer 30 in a manner which takes into consideration the SAW velocity dispersion effect, leads to time domain  
25 performance with weights like  $\sin(x)/x$ . Thus, if transducer 30 has a large number of fingers, then its amplitude characteristics look rectangular in the frequency domain. The passband is widened as well, according to the amount of the dispersion effect. If transducer 30 does not have a large number of electrode fingers 32, then its frequency response has enlarged sidelobes, but in any case these frequency sidelobes are less than the sidelobes of interdigital  
30 transducer 20 with uniform width electrode fingers 22.

In order to improve attenuation of sidelobe levels around the passband for inter-digital transducer 30 having an arbitrary number of fingers, trapezoidal fingers 32 might be replaced by bell-shaped with curled ends fingers 42, constituting another alternate interdigital 5 transducer 40, that is illustrated in FIG. 4 as an exemplary embodiment of the present invention. In a particular sub-optimal embodiment, the curl form of finger's 42 is calculated as inverse-cosine.

## 2. SAW Beam Focussing

10

Providing electrode fingers in the shape of a lens, causes the SAW waves to be focused or scattered due to the SAW wave dispersion effect in a manner analogous to the scattering or focussing of light by a common optical lens.

15

SAW beam deflection is caused by both an anisotropic piezsubstrate and by an artificially generated SAW velocity dispersion along the length of tapered electrode fingers shaped, for example, like fingers 32 or fingers 42. To avoid such SAW beam deflection, it is an aspect of the present invention to provide paired-brackets shaped electrode fingers 52, such as those shown in FIG. 5. Due to the SAW velocity dispersion effect, electrode fingers 20 52 provided in the shape of a pair of brackets, behave like focussing lenses for the propagating SAW waves. Scattering electrode fingers 53, which are implemented in the shape of a pair of braces turned inside out, operate like scattering lenses for the SAW waves.

Combining the optimal curl form of finger 42 with paired-brackets fingers 52 for 25 focusing SAW waves, results in interdigitalized electrode fingers 12, each of which is shaped in form of a pair of curled brackets, which are suggested as a preferred embodiment in the present invention, as shown in FIG. 6.

By way of example, and with reference to the calculated frequency response curves 30 of FIG. 6a, the following comparison is made. Compare the frequency characteristics 29 of a

prior art narrow band filter 15 based on simple uniform interdigital transducer 20 of FIG. 2, with the characteristics 64 of the filter 69 of FIG. 6 based on alternate transducer 60. Note that transducer 60 contains the same number of interdigitalized electrode fingers 12 as transducer 20 having uniform cross-section, but herein each finger 12 is shaped in the form of a pair of curled brackets. The calculations take into consideration that the fingers 12 are fabricated from gold. The calculations also take into account the inherent distribution of electrostatic charge on shaped electrode fingers but ignore the fact that providing the electrode finger with a shape where the width changes along its length also leads to a weak dispersion of the electromechanical coupling coefficient. Both filters 15 and 69 have an identical detecting transducer 22, whose contribution to both frequency response 29 and frequency response 64 is plotted as dashed line 28.

In a trivial case the curled brackets shape may be smoothed out to the rounded brackets shape of electrode fingers 52, wherein such an exemplary embodiment of the present invention is illustrated in FIG. 5. Alternatively, the curled-brackets shape may be straightened out to rhombus-shaped electrode fingers 72, wherein such an embodiment of the present invention is exemplified in FIG. 7.

Another exemplary embodiment of the present invention is illustrated in FIG. 8. In FIG. 8 it is shown that precise control over the diffraction-effect is achieved by varying the electrode finger lens height 88, and by implementing the long electrode fingers 82 in the form of cascade-connected bracket pairs.

The use of shaped electrode fingers to focus the SAW permits greater freedom to choose the piezocrystal cut. That is, to choose either a cut with the greatest temperature stability or a cut with the most appropriate coupling coefficient, or a compromise between the two, ignoring or almost ignoring the criterion of minimal-diffraction.

### 3. Dispersion Effect Control By Electrode Material and Thickness.

The SAW velocity dispersion effect is increased, by increasing the thickness of the electrode fingers, or by using a heavier metal than widely used aluminum as a conductive material for electrode fingers implementation, or both. So the choosing of the electrode's material and its thickness becomes an additional degree of freedom for building a weighting function for an interdigital transducer and achieving the desired frequency performance. If a transducer is designed with fingers changing in width along their length, as suggested in the present invention, an additional independent widening of the normalized (relative) frequency passband, with no resultant widening of the transitional area, and with improving characteristics of decreasing sidelobes, becomes easily feasible by taking advantage of the SAW velocity dispersion effect. It might be regulated using the additional degrees of freedom available, i.e., by using either thicker electrode fingers, or metals heavier than the most-widely used material, aluminum, as a conductive material for implementing the electrode fingers.

#### 4. Combination of Weighting Techniques.

The SAW velocity dispersion effect is independent of finger polarity, so the inventive weighting technique may be combined with any technique of polarity weighting as a separate option.

Five alternative exemplary embodiments of the present invention, illustrated in FIG. 9, FIG. 10, FIG. 11, FIG. 12 AND FIG. 13, demonstrate possible combinations of the various mechanisms of interdigitalized electrode finger weighting suggested in the present invention, together with conventional techniques. In all the examples, the curled brackets shape may either be smoothed out to a rounded brackets shape, as is shown in FIG. 5, or be straightened out to rhombus shape, as illustrated in FIG. 7.

FIG: 9 shows an exemplary embodiment of the present invention for combining the suggested weighting mechanism of the present invention with the known apodization technique. By applying the combination interdigitalized electrode fingers 92, which are weighted both by their length and by the shape of curled-brackets or cascade-connected

brackets, to an apodized SAW transducer 90, a characteristic of an arbitrary passband may be achieved. In this case, the combination fingers 92, weighted by their length, according to apodization lines 96 and weighted by shaping like curled brackets, as first taught in the present invention, also permits control over the diffraction spreading caused by the SAW beams focussing effect. The feature of widening the normalized (relative) frequency passband, with no resultant widening of the transitional area by the SAW velocity dispersion effect-based shape weighting of combination curled-brackets fingers 92, allows the use of enlarged overlaps, compared to the overlaps of prior art apodization techniques.

FIG. 10, shows an exemplary embodiment of the present invention for combining the suggested weighting mechanism of the present invention with known withdrawal weighting. As a rule, the known withdrawal weighting technique is applied to narrow bandpass transducers and problems arise trying to enlarge the passband without also distorting the frequency characteristics for such transducers. A SAW filter 109, that contains an input withdrawal weighted SAW transducer 100 and output trivial uniform transducer 21, is schematically illustrated in FIG.10, as an exemplary embodiment of the present invention. The charged electrode fingers 102 and isolated electrode fingers 103, are weighted by shaping them. Electrode fingers 102 are connected to one or the other of opposite bus bars 6 or 8, and isolated electrode fingers 103 are electrically isolated. Applying the weighting of electrode fingers 102 and 103 by their shape, as suggested in the present invention, permits widening the transducer's 100 frequency characteristics passband with no resultant widening of the transitional area and with additional improvement of the frequency characteristics. The use of isolated electrodes 103, in non-charged vacant places, is mainly required by the present invention's deliberate utilization of the SAW velocity dispersion effect.

FIG. 11, shows an exemplary embodiment of the present invention for use of the suggested weighting mechanism of the present invention, as applied to tapered input transducer 110 and tapered output transducer 111. A preferred embodiment of such an application is a tapering of the transducer in both directions, from the middle, along the length of curled brackets electrode fingers 112, to opposite bus bars 6 and 8. The general additional



curvature of curled-brackets electrode fingers 112 is configured in alignment with the tapering of transducers 110 and 111.

FIG. 12, shows an exemplary embodiment of the present invention for combining the suggested weighting mechanism of the present invention with prior art capacitive weighting. Electrode fingers 122 and 125 are connected to opposite bus bars 6 and 8, and are not overlapped directly. Electrode fingers 122 and 125 have a capacitive inter-influence because interdigitalized electrode fingers 123 and 124 are connected to each other by contact squares 127. Fingers 126 are for deflection and scattering of unwanted SAW beams.

10

FIG. 13, by way of example, shows a multi-strip coupler transducer 130, that is applied for bandpass filtering. Prior art interdigitalized electrode fingers 131 have the form of uniform strips, as shown in FIG. 13a.

FIG. 13b, shows an exemplary embodiment of the present invention for the use of fingers 132, weighted by being shaped into cascade-connected curled brackets. It allows retention of the SAW beams with no diffraction spreading, and improves the frequency band pass characteristics in contrast with the use of prior art fingers 131 shown in FIG. 13a.

## 20 5. Reflection Coefficient.

The SAW reflection coefficient depends on the finger width, so the weighting technique of the present invention may be applied to the weighting of reflecting fingers. This feature becomes particularly useful, for example, in unidirectional interdigital transducers, wherein the widening of the frequency passband is an especially difficult problem.

An exemplary embodiment of the present invention, which is illustrated in FIG. 14, shows an application of the weighting mechanism to single phase unidirectional transducer (SPUDT) 140. The electrode fingers 142 are launching electrodes if the transducer 140 is used as an input transducer, and are receiving electrodes if the transducer 140 is used as an

output transducer. The electrode fingers 143 are reflecting fingers. Electrode fingers of both of types, launching/receiving 142 and reflecting 143, are weighted as to their shape. Both electrodes 142 and electrodes 143 change in width along their length. The weighting mechanism for launching/receiving electrode fingers 142 is based on SAW velocity  
5 dispersion. The weighting mechanism for reflecting electrode fingers 143 is based on both effects: SAW velocity dispersion and SAW reflection coefficient dispersion. Such weighting provides a widening of the frequency band pass characteristics of unidirectional interdigital transducers.

10

References Cited

	4600905	Jul., 1986	Fredricksen	333/196.
15	5831492	Nov., 1998	Solie	333/193.
	5818310	Oct., 1998	Solie	333/196.
	4635008	Jan. 6, 1987	Solie	333/195.
20	4908542	Mar. 13, 1990	Solie	310/313.B.
	6031315	Feb. 29, 2000	Abbot	310/313.B.
25	4162465	Jul., 1979	Hunsinger et al.	333/151.

Other References

P.M.Naraine and C.K.Campbell, Wide Band Linear Phase SAW Filters Using Apodized  
30 Slanted Finger Transducers, - 1983 IEEE Ultrasonics Symposium, pp.113-116

N.J.Slater and C.K.Campbell, Improved Modeling of Wide-Band Linear Phase SAW Filters  
Using Transducers with Curved Fingers, - IEEE Transactions on Sonics & Ultrasonics, vol.  
SU-31, No. 1, Jan. 1984, pp.46-50

- 5 D.P.Morgan, Surface-Wave Devices for Signal Processing, - Elsevier, Amsterdam,  
1985,Chapter-6, pp.129-155.

D.P.Chen and H.A.Haus, Analysis of Metal-Strip SAW Gratings and Transducers, - IEEE  
Transactions on Sonics and Ultrasonics, vol. SU-32, No 3, May 1985

10

L.P.Solie, A SAW bandpass filter technique using a fanned multi-strip coupler, - Appl.Phys.  
Lett., 30 , 374-376 (1977)

15

M.Feldmann and J.Henaff, Design of multi-strip arrays, - IEEE Ultrasonic Symp., 1977,  
pp.686-690.

C. B. Saw and C. K. Campbell, Improved Design of SPUDT For SAW Filters, - IEEE Ultrason.  
Symp., 1987.

20

D.P.Morgan and P.Durrant, Low Loss Filters Using Group-Type SPUDT Transducers, - IEEE  
Ultrason. Symp., 1990, pp.31-35

I claim:

1. A transducer for surface acoustic waves, said transducer comprising a plurality of interdigitized electrode fingers, including at least one interdigitized electrode finger which is provided with a shape that changes in width along said finger's length, provided that where a transducer is tapered, said electrode finger width is not uniformly changed to maintain alignment with tapering of the transducer, thereby resulting in a combination of at least one of the SAW velocity dispersion effect along the fingers' lengths, the electromechanical coupling coefficient dispersion along the fingers' lengths, the electrostatic charge distribution along the fingers' lengths and the dispersion of the SAW reflection coefficient along the fingers' lengths such that a desired weighting effect is achieved.
2. A transducer according to claim 1, wherein said interdigitalized finger is provided with a shape which controls the diffraction effect by either focussing, scattering or deflection of SAW beam resulting from the SAW velocity dispersion effect along the electrode fingers' lengths.
3. A transducer according to claim 1, wherein said electrode fingers are arranged without regard to uniformity of periodicity along the lengths of electrode fingers.
4. A transducer according to claim 1, wherein said electrode fingers are arranged without regard to uniformity of periodicity in the direction of the wave propagation through said transducer.
5. A transducer according to claim 1, wherein said electrode fingers are generally of non-uniform lengths.
6. A transducer according to claim 1, wherein the shapes of said electrode fingers generally are not all identical.

7. A transducer according to claim 1, wherein said at least one interdigitized electrode finger has at least one edge shaped in the form of a curled bracket.
8. A transducer according to claim 1, wherein said at least one interdigitized electrode finger has at least one edge in the form of a rounded bracket.
9. A transducer according to claim 1, wherein said at least one interdigitized electrode finger has at least one edge in the form of a refracted line.
10. A transducer according to claim 1, wherein said at least one interdigitized electrode finger has the shape of a rhombus.
11. A transducer according to claim 1, wherein said at least one interdigitized electrode finger has at least a portion of one edge in the form of a curled bracket.
12. A transducer according to claim 1, wherein said at least one interdigitized electrode finger has at least a portion of one edge in the form of a rounded bracket.
13. A transducer according to claim 1, wherein said at least one interdigitized electrode finger has at least a portion of one edge in the form of a refracted line.
14. A transducer according to claim 1, wherein said at least one interdigitized electrode finger has trapezoidal form.
15. A transducer according to claim 1, wherein said at least one interdigitized electrode finger has at least a portion of one edge in the form of a bell.
16. A method for weighting a SAW transducer comprising providing at least one electrode finger with a shape such that the SAW wave velocity is dispersed along the finger's length.

17. The method according to claim 16, wherein at least a portion of said at least one electrode finger is provided with the shape of curled-brackets.
18. The method according to claim 17, wherein said curled-brackets comprises a curve which can be calculated as inverse-cosine.
19. The method as recited in claim 16, wherein said at least one electrode finger is provided in the shape of a rhombus.
20. The method as recited in claim 16, wherein at least a portion of said at least one electrode finger is provided in the shape of rounded-brackets.
21. A method for weighting a SAW transducer comprising providing at least one electrode finger with a shape such that the SAW reflection coefficient is dispersed along said shaped electrode finger's length.
22. A method for controlling the diffraction spreading of SAW beams in a SAW transducer, said method comprising providing at least one electrode finger of said transducer with a shape that changes in width along said finger's length, thereby using the SAW velocity dispersion effect.
23. A SAW transducer having electrode fingers shaped in order to produce a SAW velocity dispersion effect.

Abstract Of the Disclosure

A transducer for a surface acoustic wave (SAW) device comprises a plurality of interdigitized electrode fingers, which change in width along their length. Preferred forms include shapes similar to paired curled brackets {}, paired reversed curled brackets {}, paired rounded brackets (), trapezoids, bells, and rhombus <>. Simultaneously, weighting by shape of the interdigitized electrode fingers is determined using of at least one of the following inventive mechanisms: the SAW velocity dispersion effect along the finger's length and the dispersion of the SAW reflection coefficient along the finger's length. Electrode fingers shaped in form of either paired brackets, rhombus, cascaded brackets or cascaded rhombuses are also utilized for SAW beam diffraction control by focussing of the SAW due to the SAW velocity dispersion effect along the fingers' lengths.

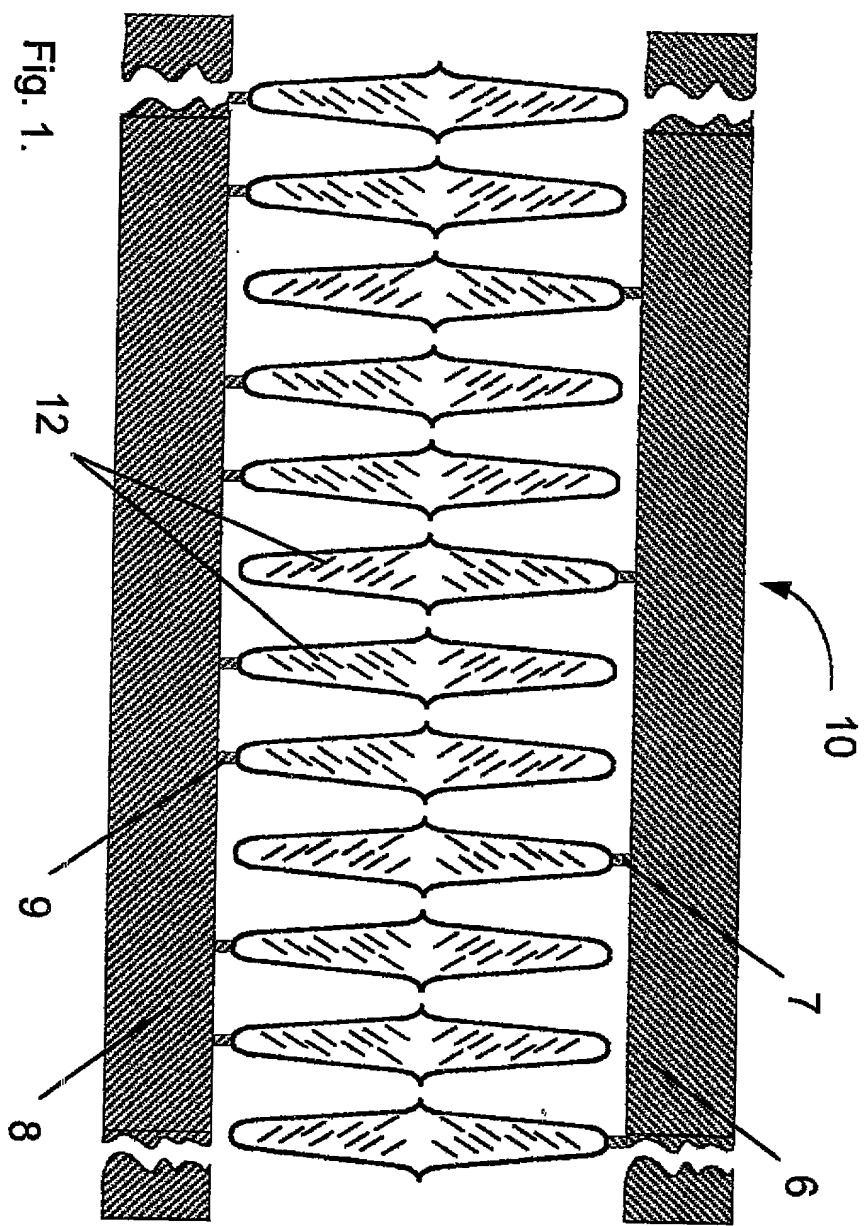


Fig. 1.

09685718-101100



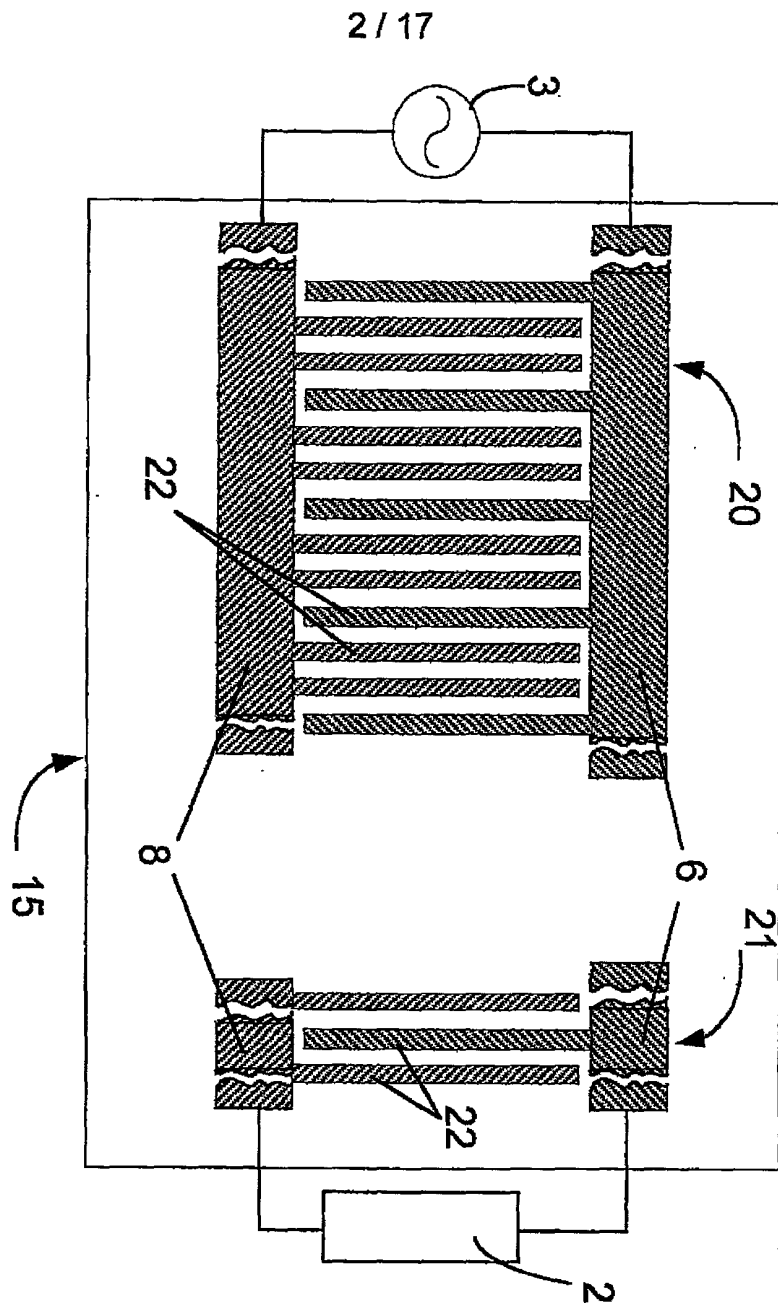


Fig. 2. Prior Art.

09685718.101100

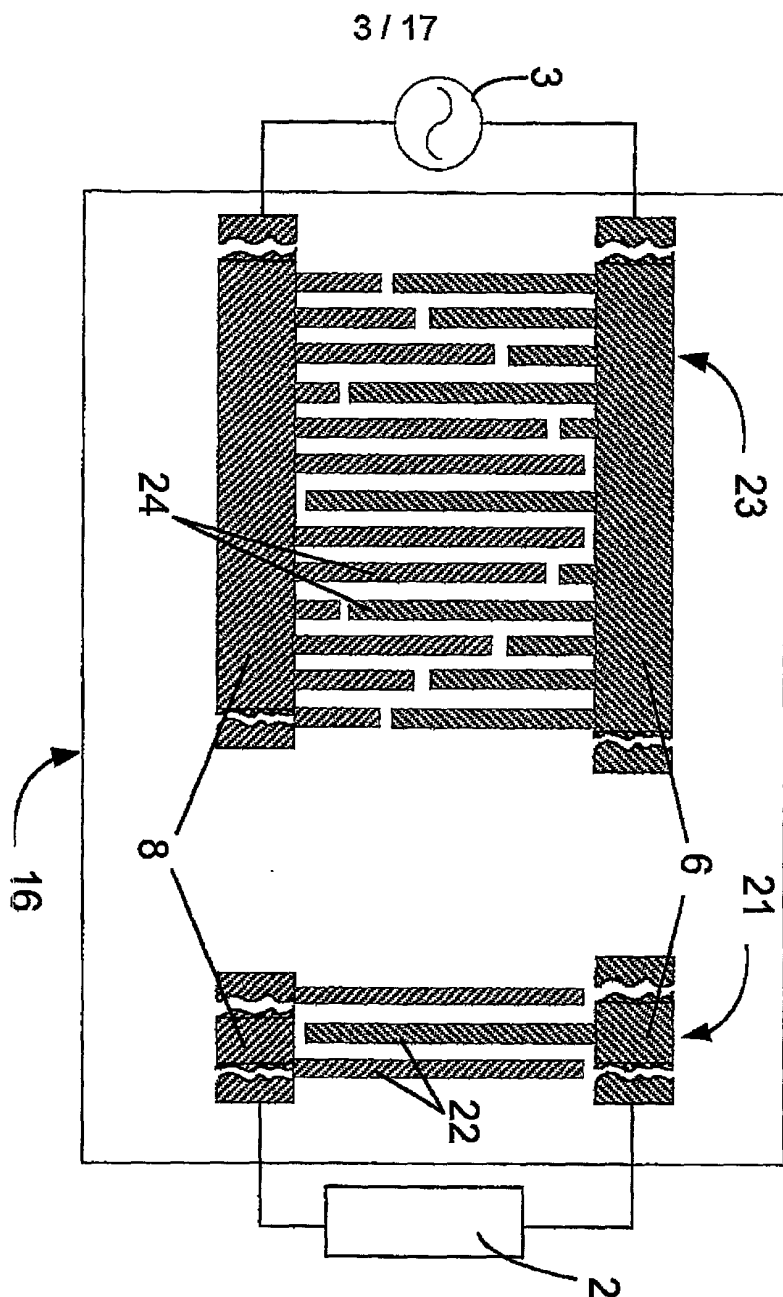


Fig. 2a. Prior Art.

09685718-101100

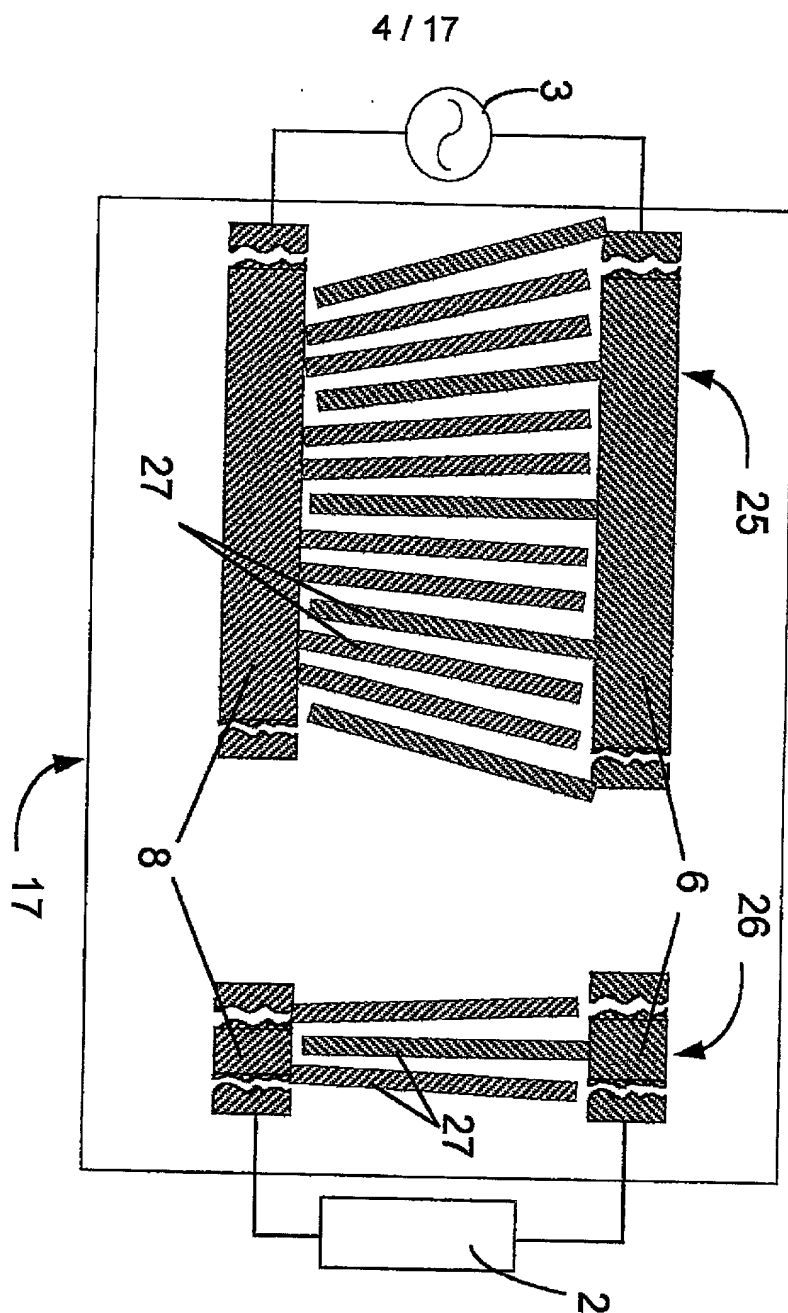


Fig. 2b. Prior Art.

00685718-100100

5 / 17

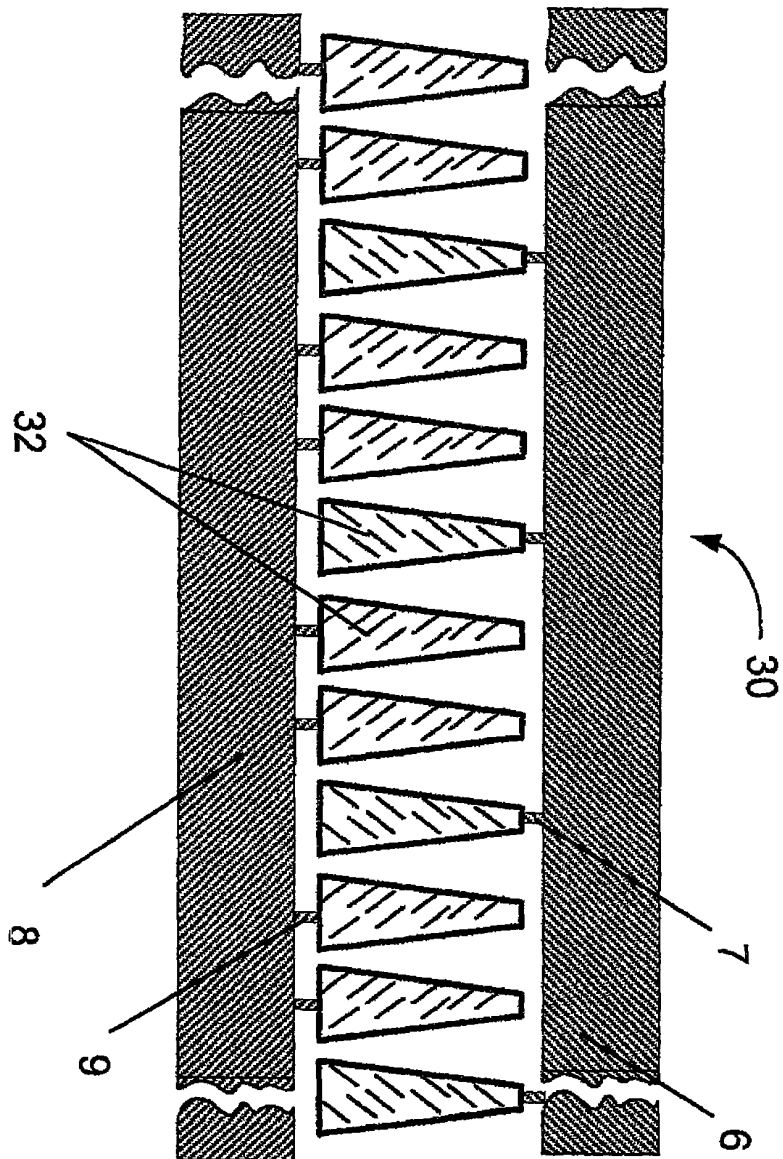


Fig. 3.

09685748-101100

6 / 17

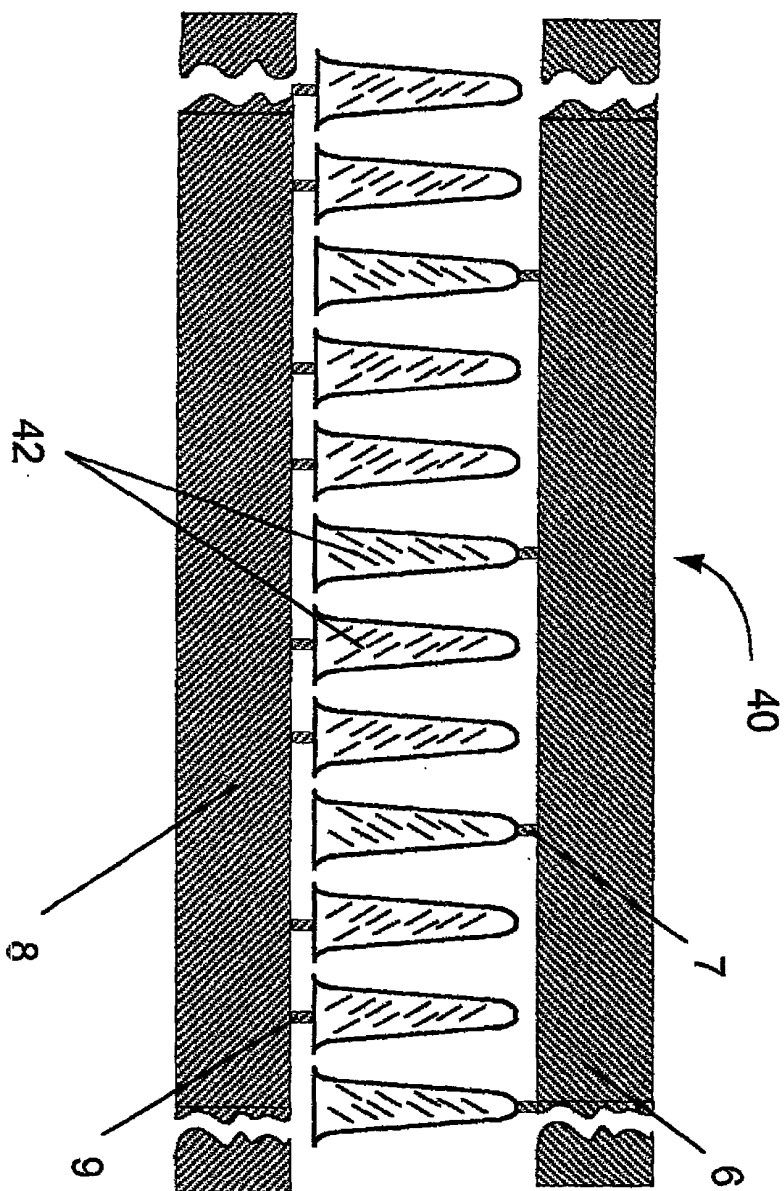


Fig. 4.

09685718-101100

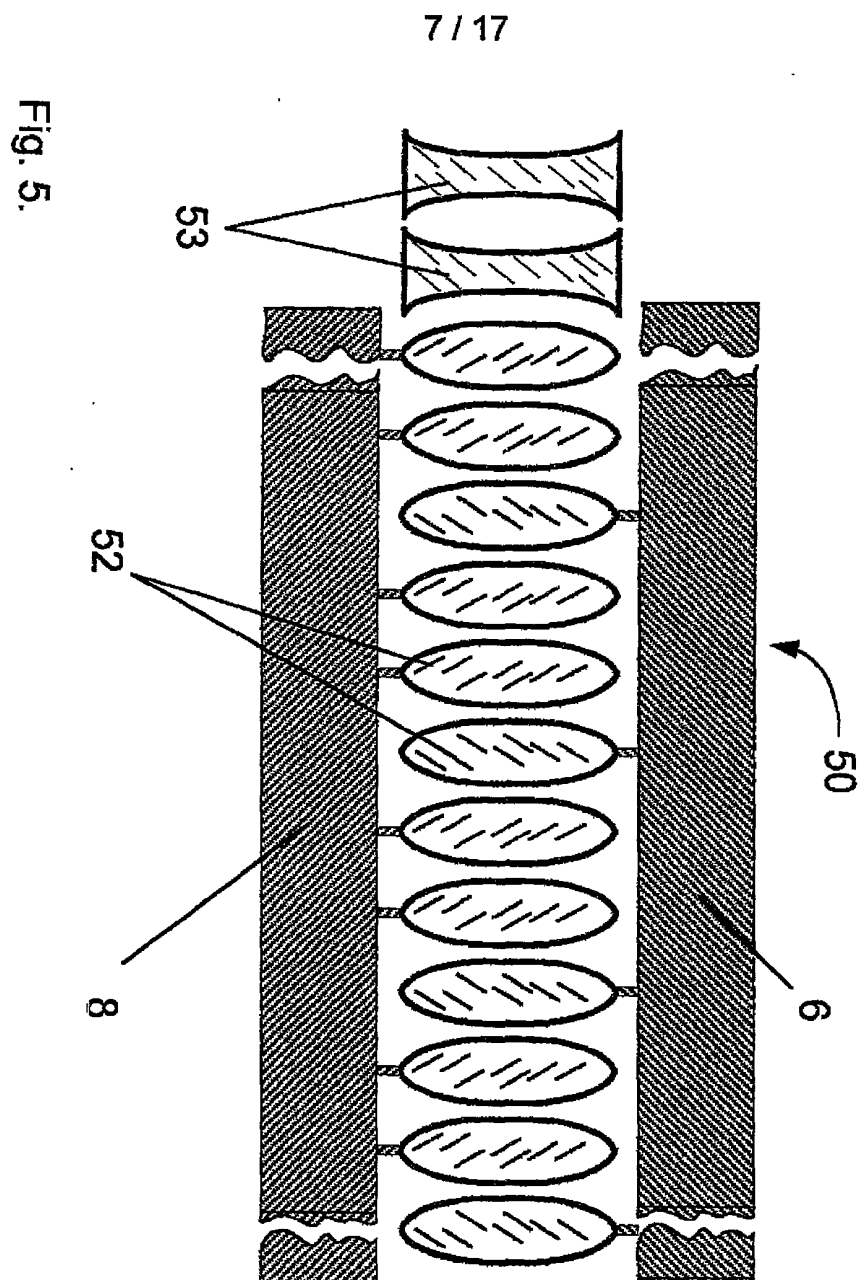
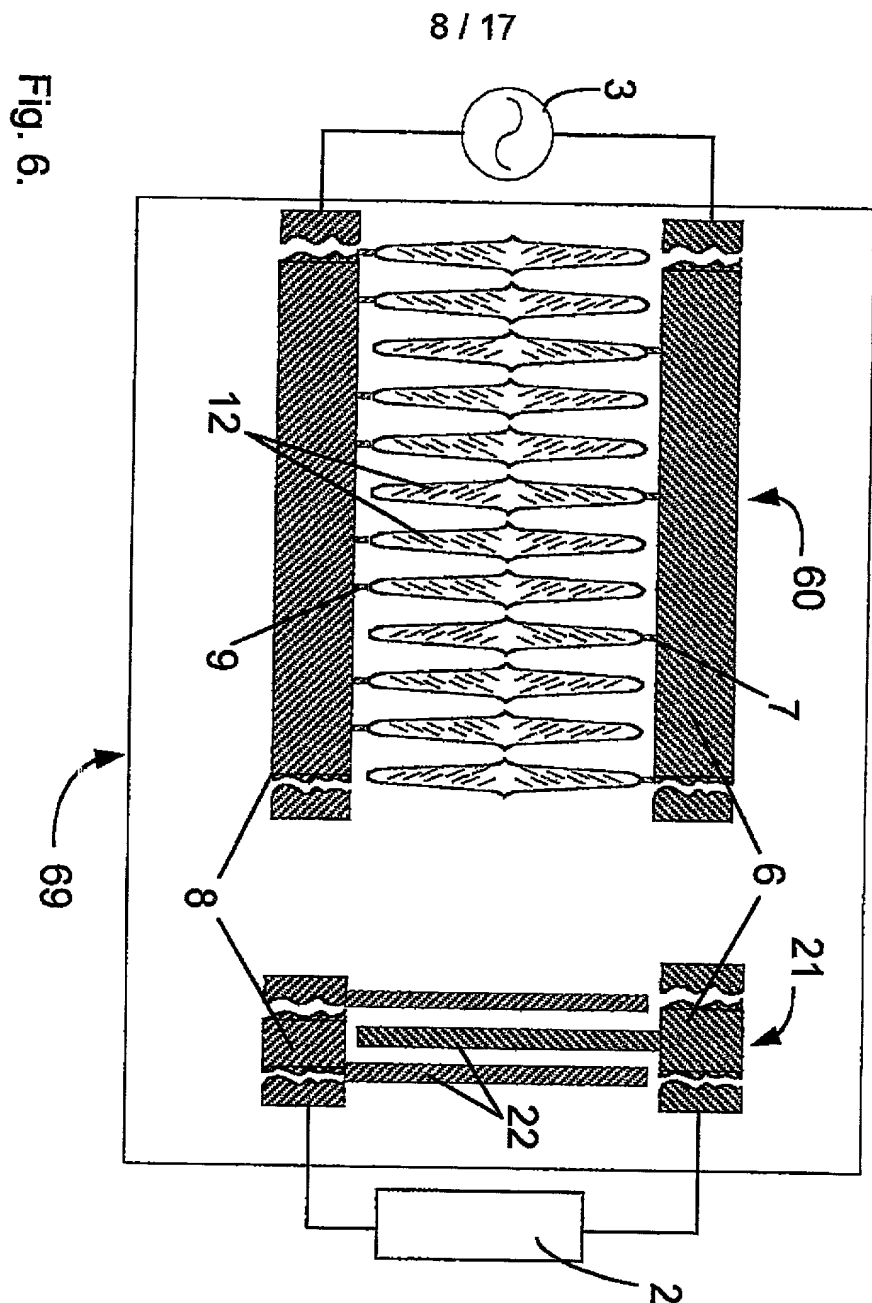


Fig. 5.

09685218-101100



09689718-104400

9/17

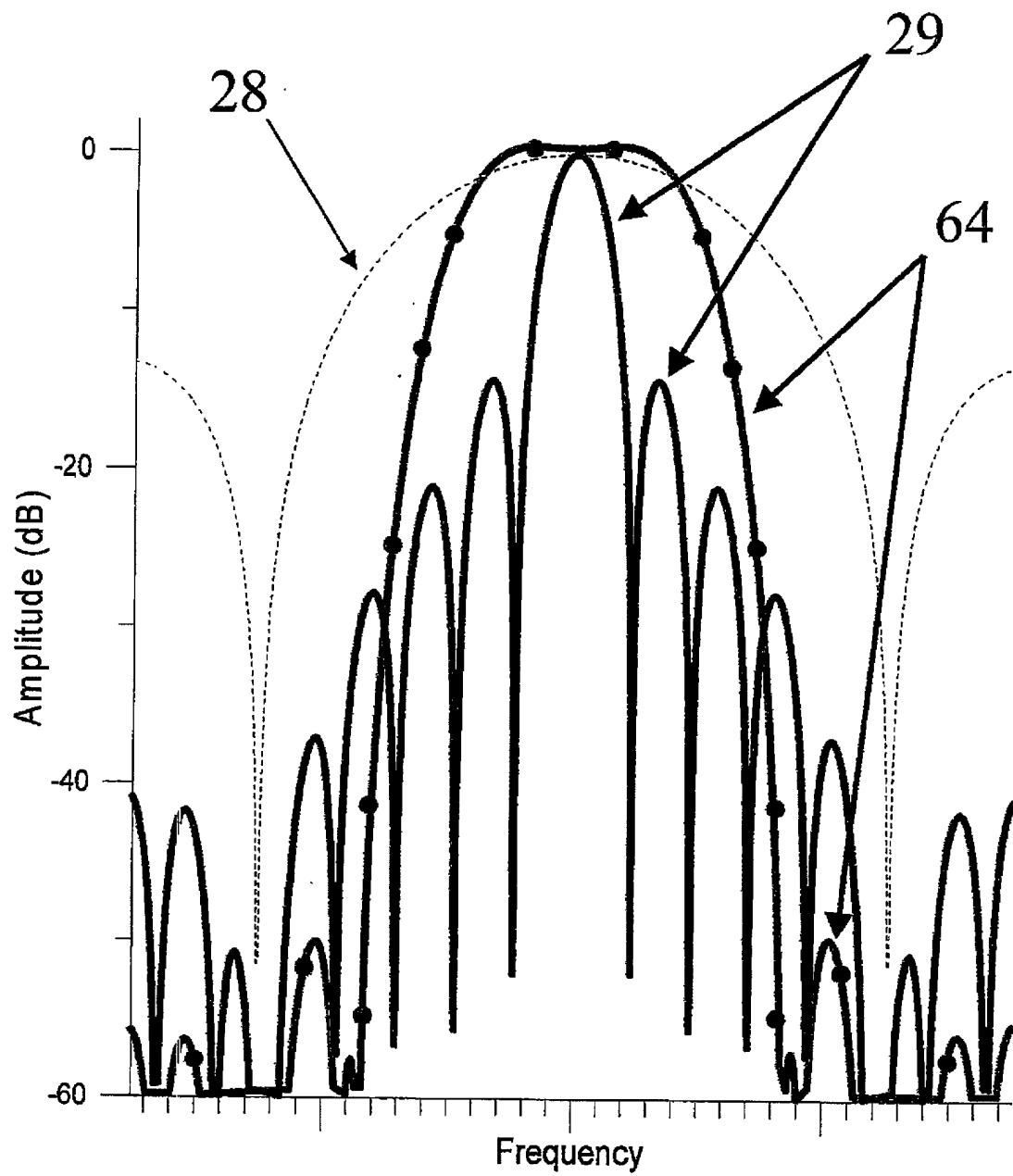
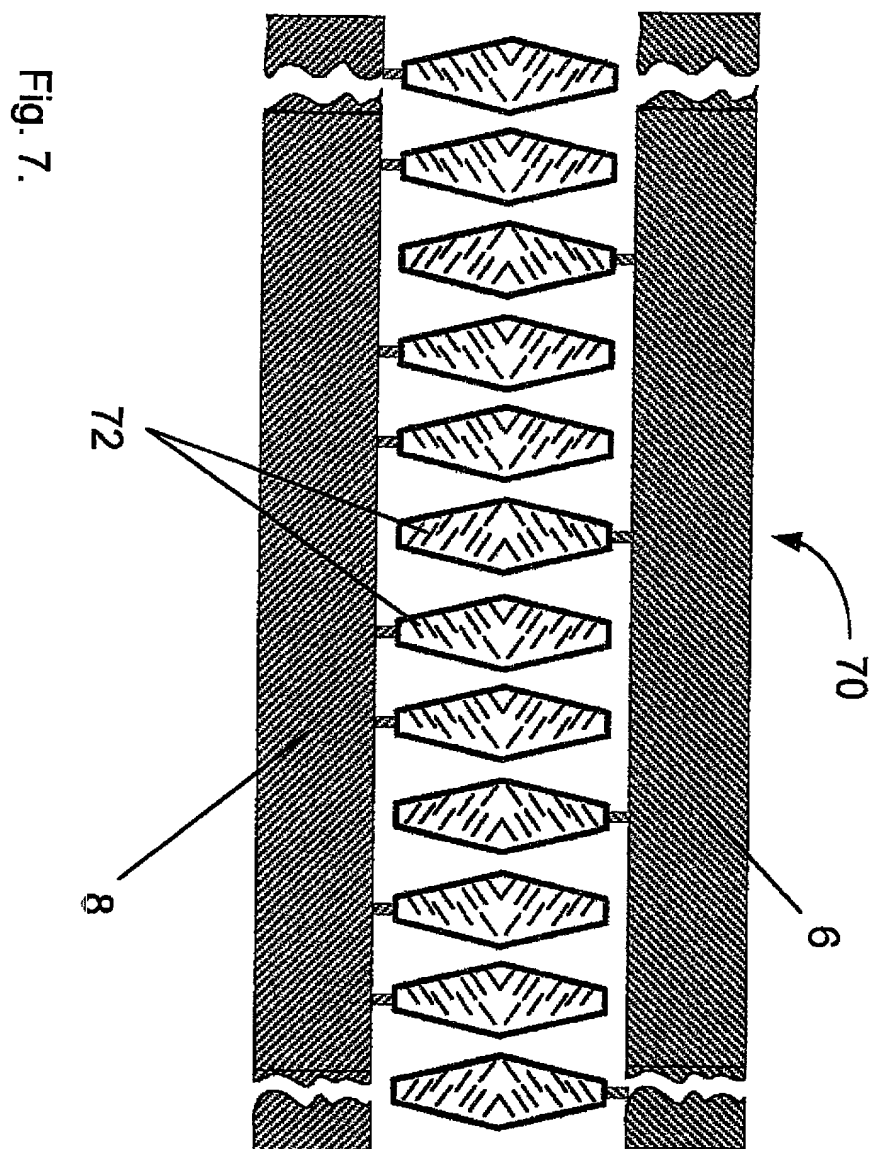


FIG. 6a.

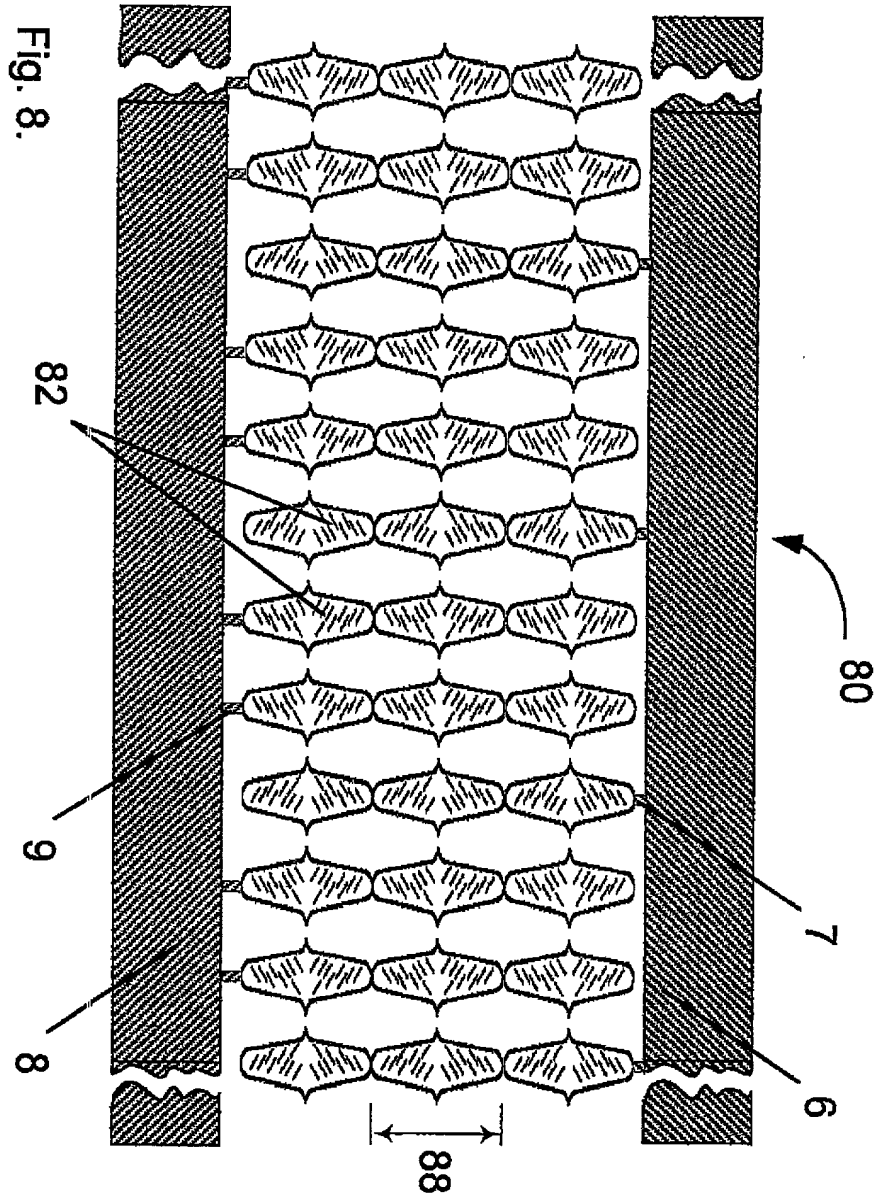


10 / 17

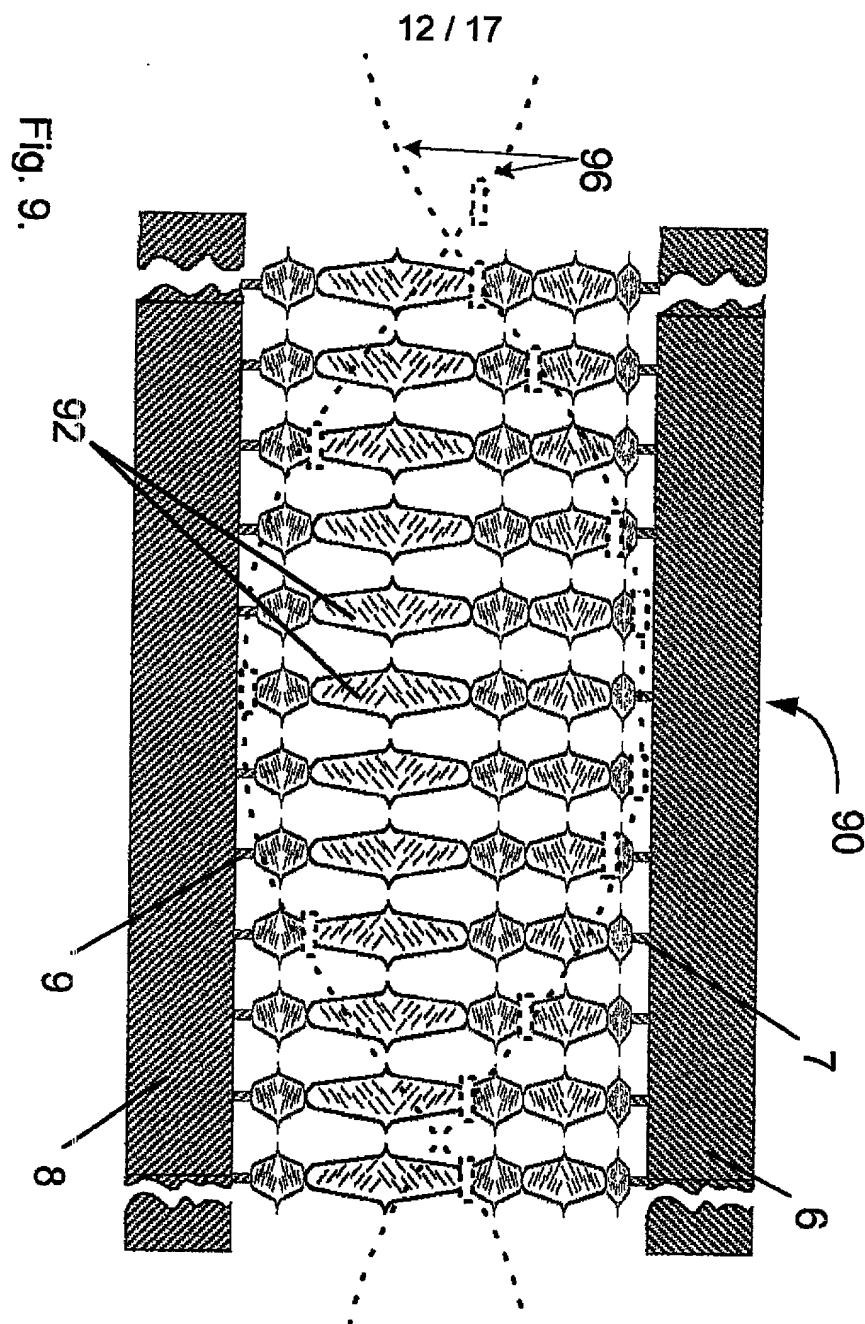


09685718-101100

11 / 17



09685718-101100



09685718-101100



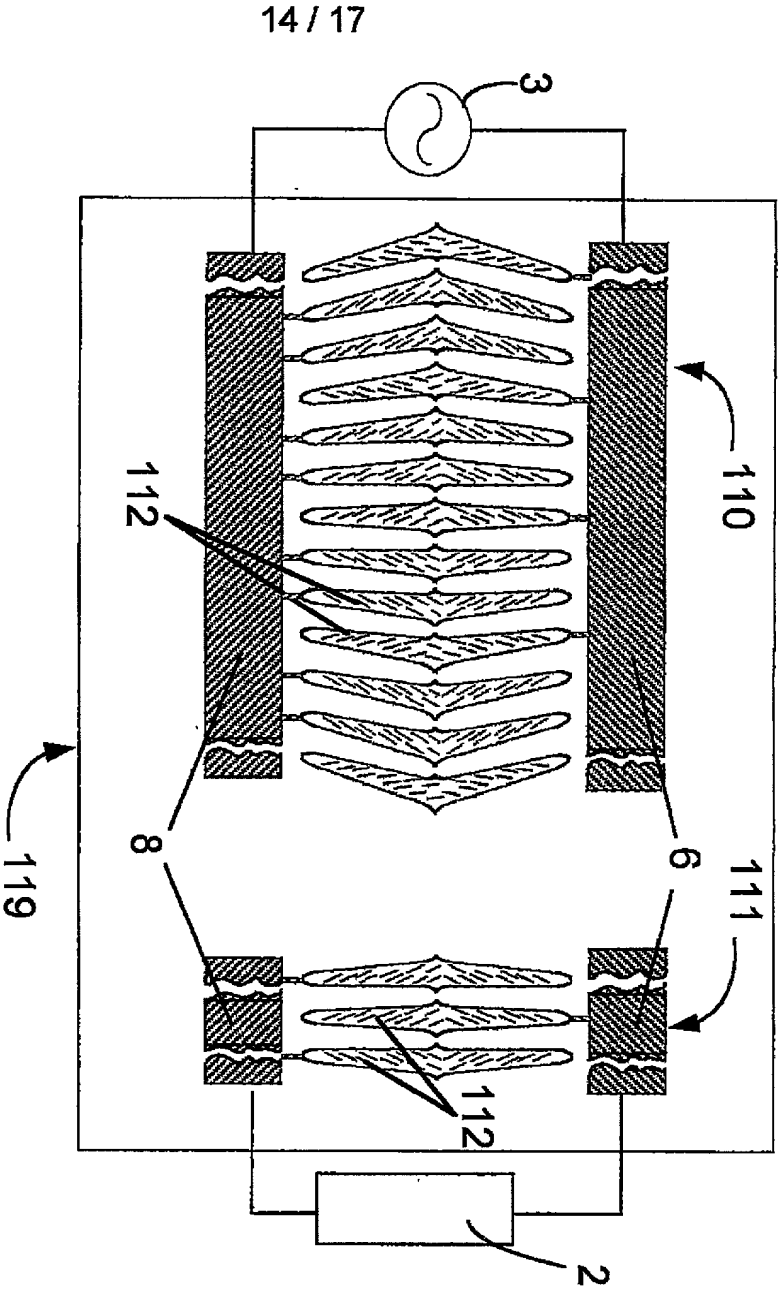


Fig. 11.

09585718-101100

15 / 17

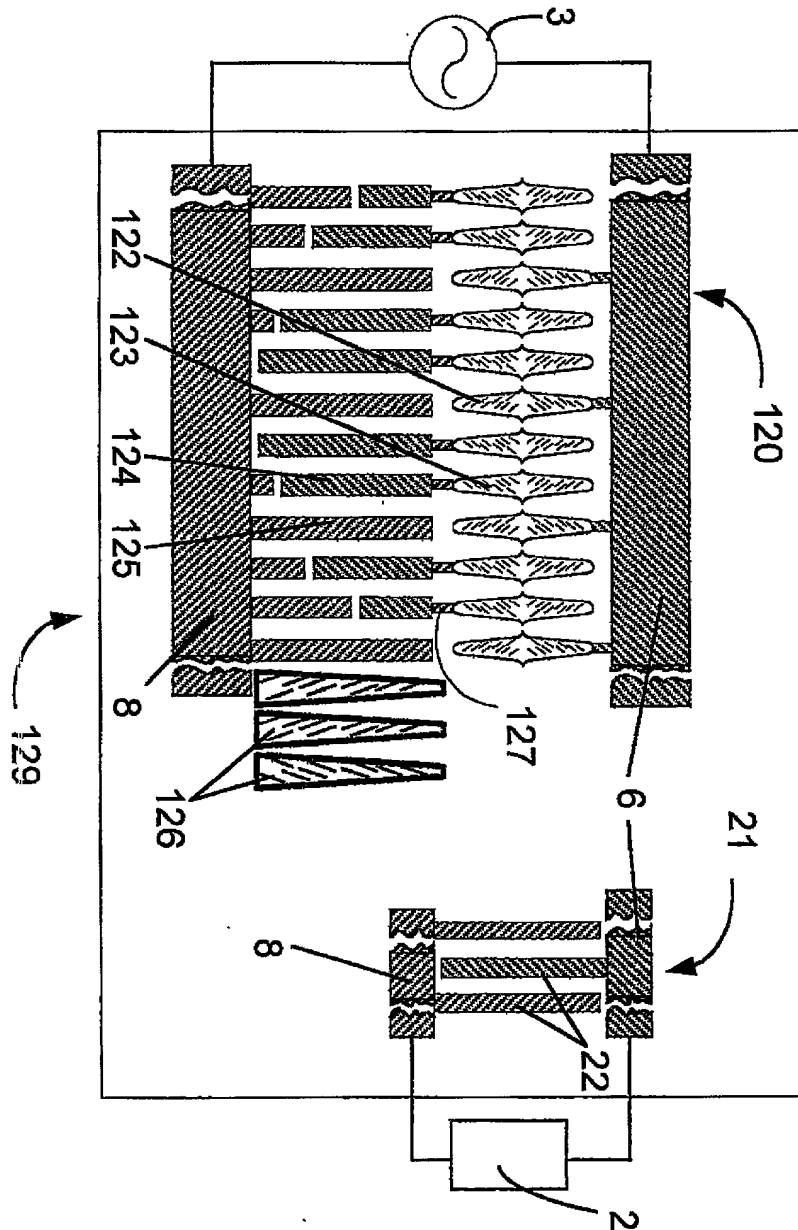


Fig. 12.

00685748-401100

16 / 17

Fig. 13.

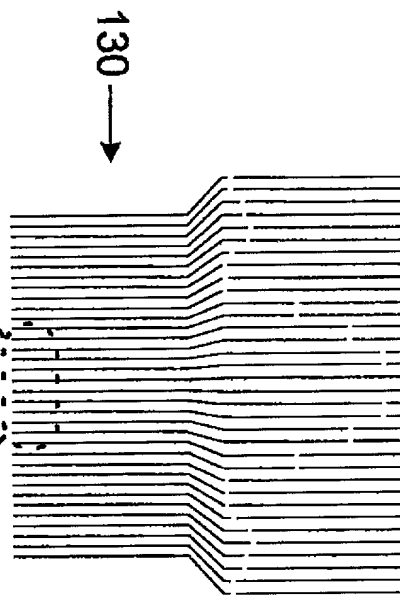


Fig. 13a. Prior Art.

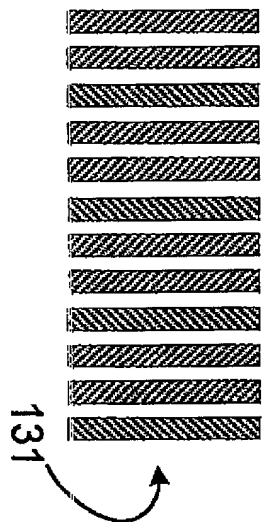
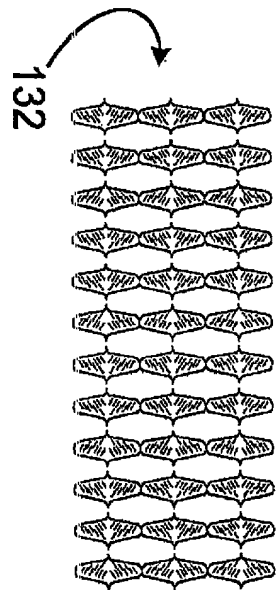


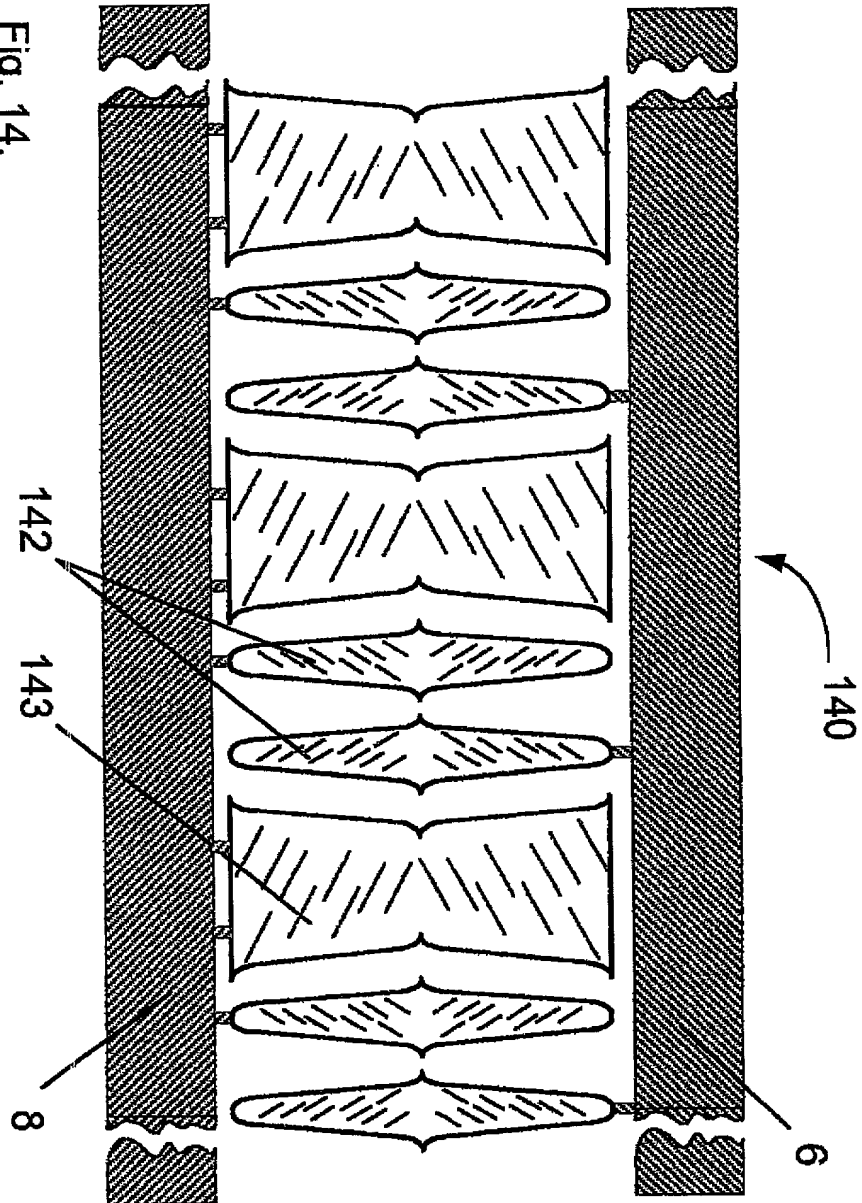
Fig. 13b.



09999712-101100

17/ 17

Fig. 14.



09595712.101100



Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>  <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing      OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	<b>Attorney Docket Number</b>	A-378-0 US
	<b>First Named Inventor</b>	ABRAMOV, Yuri
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	/
	<b>Filing Date</b>	
	<b>Group Art Unit</b>	
	<b>Examiner Name</b>	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD UTILIZING THE SAW VELOCITY DISPERSION EFFECT FOR WEIGHTING BY  
SHAPING THE ELECTRODE FINGERS OF A SAW INTERDIGITAL TRANSDUCER AND  
APPARATUS PRODUCED THEREBY**

the specification of which (Title of the Invention)

☒ Is attached hereto  
OR☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →



PTO/SB/01 (12-97)  
 Approved for use through 9/30/00. OMB 0651-0032  
 Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains  
 a valid OMB control number.

## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT International application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact with the Patent and Trademark Office connected therewith:

☒ Customer Number 23366

OR

☒ Registered practitioner(s) name/registration number listed below

Name	Registration Number	Name	Registration Number
Morton Chirnomas	34,465		

☐ Additional registered practitioner(s) named on supplemental Registration Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number or Bar Code Label 23366 OR ☐ Correspondence address below

Name	PATENT TRADEMARK OFFICE		
Address			
Address			
City	State	ZIP	
Country	Telephone	Fax	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
YURI		ABRAMOV	
Inventor's Signature	10/11/2000		
Residence: City	State	Country	Citizenship
HOLON		Israel	ISRAEL
Post Office Address			
Har Hatzofim St. 24/4			
Post Office Address			
City	State	ZIP	Country
Holon		58492	Israel

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto